

Attorney's Docket No.: 07977-008004 / US2938D1D2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shunpei Yamazaki et al. Art Unit : 2673

Serial No.: 09/504,235 Examiner: David L. Lewis

: February 15, 2000 Filed

: METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE Title

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## REQUEST FOR INITIALED PTO FORM 1449

Upon reviewing the file, applicants noted that they have not received initialed copies of the enclosed PTO Forms 1449 and 892 (4 total pages) that accompanied the information disclosure statements filed:

January 11, 2001;

August 27, 2002 (also Request for Initialed PTO-1440 Form filed December 2, 2002);

December 18, 2002; and

February 19, 2003 (also Transmittal of English Translation filed April 7, 2003).

Applicants' records show that these information disclosure statements complied with 37 CFR 1.97. Thus, we respectfully request that the examiner initial and return these forms as soon as possible. Note, applicants must pay the issue fee by February 14, 2005, so the examiner's prompt attention to this matter would be appreciated.

Respectfully submitted,

12/16/04

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Sheet	1	of	1

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 07977-008004

Application No. 09/504,235

**Information Disclosure Statement** by Applicant (Use several sheets if necessary)

Applicant Filing Date

Yamazaki, et al.

February 15, 2000

Group Art Unit 2673

DEC 1 6 20

(37 CFR §1.98(b))

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5 569 610	Oct. 29, 1996	Zhang et al.			
	AB	5 614 733	Mar 25, 1997	Zhang et al.			
	AC	5 783 468	Jul 21, 1998	Zhang et al.			
	AD	5 608 232	Mar 4, 1997	Yamazaki et al.			
	AE	6 376 333	Apr 23, 2002	Yamazaki et al.			
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Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL	4-178633	6/25/1992	JР			ABSTRACT	İ
	AM	6-244103	9/2/1994	JP			ABSTRACT	
	AN	6-318701	11/15/1994	JP			ABSTRACT	
	AO	8-288522	11/1/1996	JP			ABSTRACT	
	AP							

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document				
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Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	it in conformance and not considered. Include copy of this form with
next communication to applicant.	Substitute Disclosure Form (PTO-1449)

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Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 07977-008004-US2938D1D2 Application No. 09/504,23/5

DEC 16

**Information Disclosure Statement** by Applicant (Use several sheets if necessary)

Applicant	
Vamaz	aki

Yamazaki, et al.

Filing Date

February 15, 2000

Group Art Unity

(37 CFR §1.98(b))

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,766,477	8/23/1988	Nakagawa, et al.			
	AB	5,313,076	5/17/1994	Yamazaki, et al.			
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Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
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	AM							
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-008004	Application No. 09/504,235	OIPE
		Applicant Yamazaki, et al.		DEC 1 6 2004
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U.S. Patent Documents							
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Examiner								
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
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Other Documents (include Author, Title, Date, and Place of Publication)					
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